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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
James E. Audia, et al.) Group Art Unit: 1624
Application No.: 09/882,777) Examiner: B. Kifle
Filed: June 14, 2001)
For: POLYCYCLIC α -AMINO- ϵ -)
CAPROLACTAMS AND RELATED)
COMPOUNDS)

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Patent
Attorney's Docket No. 002010-685

11/10/02
10/13/02

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a Supplemental Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

No additional fee for submission of an IDS is required.

The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.97(p) is also enclosed.

A certification under 37 C.F.R. § 1.97(e) is also enclosed.

A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.

Charge \$_____ to Deposit Account No. 02-4800 for the fee due.

A check in the amount of \$ 180.00 is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: 9-30-02
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Patent

Attorney's Docket No. 002010-6854600/2900

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In re Patent Application of)
James E. Audia, et al.) Group Art Unit: 1624
Application No.: 09/882,777) Examiner: B. Kifle
Filed: June 14, 2001)
For: POLYCYCLIC α -AMINO- ϵ -)
CAPROLACTAMS AND RELATED)
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed.

Foreign Patents

| | | |
|--------------|----------|--------|
| EP 0 591 529 | 4/13/94 | Europe |
| EP 0 647 632 | 4/12/95 | Europe |
| EP 0 945 445 | 9/29/99 | Europe |
| WO 92/11246 | 07/09/92 | PCT |
| WO 94/00438 | 01/06/94 | PCT |
| WO 94/25445 | 11/10/94 | PCT |
| WO 95/03285 | 02/02/95 | PCT |
| WO 98/25911 | 06/18/98 | PCT |

10/08/2002 TSUGGS 00000008 09882777
01 FC:126 WO 95/03285 180.00 0P

Information Disclosure Statement
Application No. 09/882,777
Attorney's Docket No. 002010-685
Page 2

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|----------|----------|-------|
| 5247033 | 09/24/93 | Japan |
| 6211812 | 08/02/94 | Japan |
| 10101560 | 04/21/98 | Japan |

European Patent No. 0591529 is the English language equivalent of WO 92/11246;
European Patent No. 0647632 is the English language equivalent of WO 94/00438 and
European Patent No. 0945445 is the English language equivalent of WO 98/25911.

A fee in the amount of \$180.00 (126) is enclosed in conformance with 37 C.F.R.
§ 1.97(c).

To assist the Examiner, the documents are listed on the attached form PTO-1449.
It is respectfully requested that an Examiner initialed copy of this form be returned to the
undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: 9-30-02

By: Gerald F. Swiss
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